SHENZHEN GROWATT NEW ENERGY CO.,LTD.

Form C: Type Test Verification Report

Type Approval and **Manufacturer** declaration of compliance with the requirements of G98.

This form should be used when making a Type Test submission to the Energy Networks Association (ENA).

If the **Micro-generator** is **Fully Type Tested** and already registered with the ENA **Type Test Verification Report** Register, the **Installation Document** should include the **Manufacturer**'s Reference Number (the Product ID), and this form does not need to be submitted.

Where the **Micro-generator** is not registered with the ENA **Type Test Verification Report** Register this form needs to be completed and provided to the **DNO**, to confirm that the **Micro-generator** has been tested to satisfy the requirements of this EREC G98.

Manufacturer's reference number	SPH 3600TL BL-UP.
Micro-generator technology	SPH 3000TL BL-UP, SPH 3600TL BL-UP.
Manufacturer name	Shenzhen Growatt New Energy Co., Ltd.
Address	4-13th Floor, Building A, Sino-German Europe Industrial Demonstration Park, No. 1, Hangcheng Avenue, Bao'an District, Shenzhen, Guangdong, China.

Tel	+86 755 2951 5888	Fax	+86 755 2747 2131
E-mail	Peng.zhu@growatt.com	Web site	www.ginverter.com

Registered Capacity, use separate sheet if more than one connection option.	Connection Option	
	2.5-3.6	kW single phase, single, split or three phase system
	N/A	kW three phase
	N/A	kW two phases in three phase system
	N/A	kW two phases split phase system

Manufacturer Type Test declaration. - I certify that all products supplied by the company with the above **Type Tested** reference number will be manufactured and tested to ensure that they perform as stated in this document, prior to shipment to site and that no site modifications are required to ensure that the product meets all the requirements of EREC G98..

Signed		On behalf of	Shenzhen Growatt New Energy Co., Ltd.

Note that testing can be done by the **Manufacturer** of an individual component or by an external test house.

Where parts of the testing are carried out by persons or organisations other than the **Manufacturer** then that person or organisation shall keep copies of all test records and results supplied to them to verify that the testing has been carried out by people with sufficient technical competency to carry out the tests.

1.Operating Range: This test should be carried out as specified in EN 50438 D.3.1.

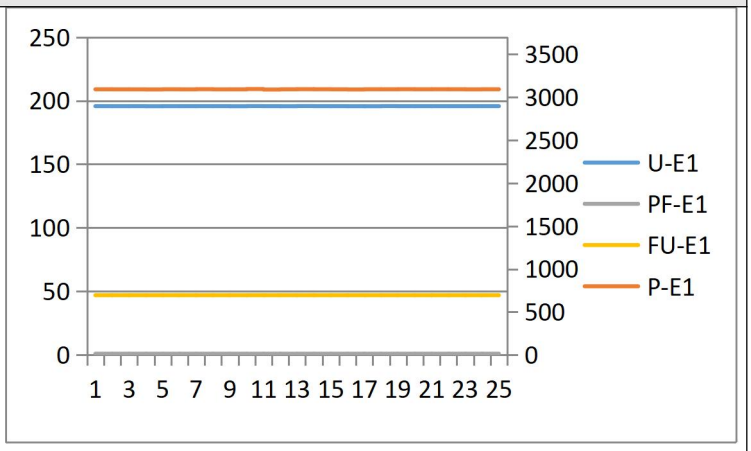
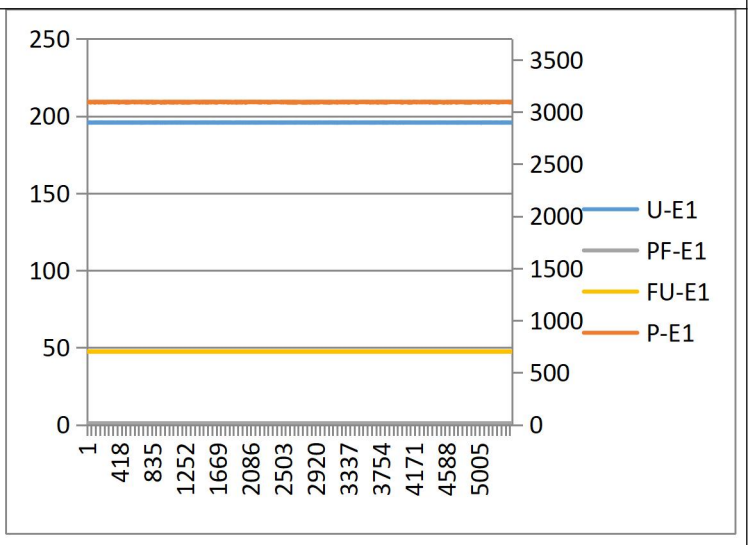
Active Power shall be recorded every second. The tests will verify that the **Micro-generator** can operate within the required ranges for the specified period of time.

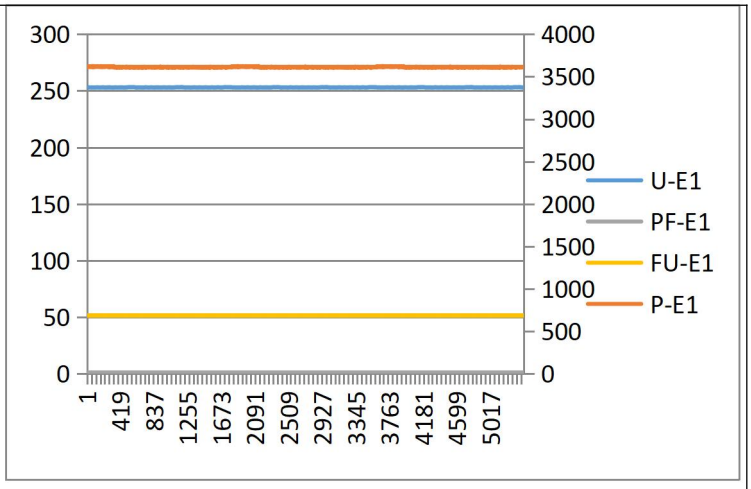
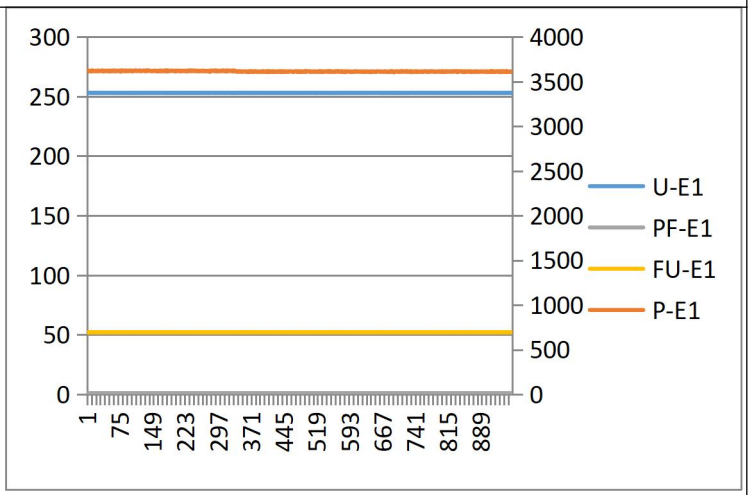
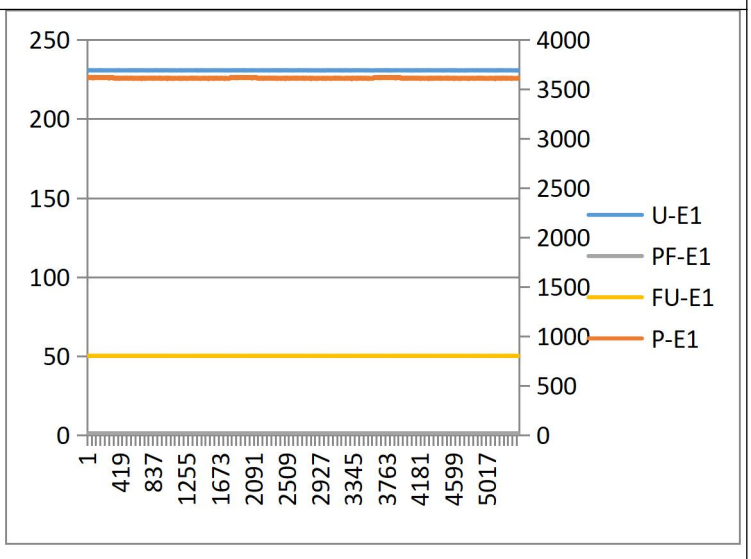
The **Interface Protection** shall be disabled during the tests.

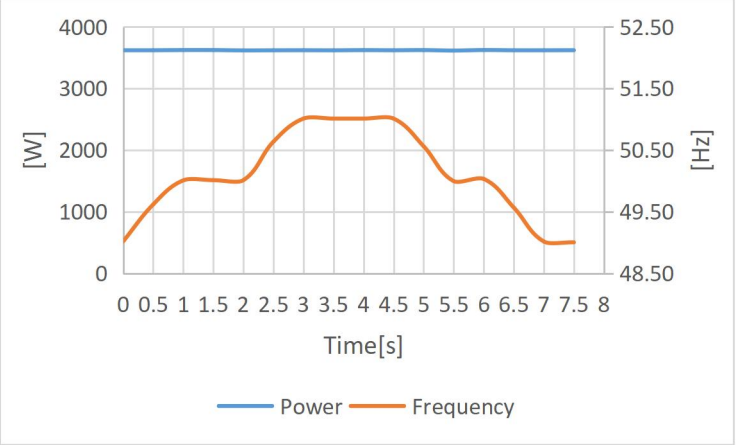
In case of a PV **Micro-generator** the PV primary source may be replaced by a **DC** source.

In case of a full converter **Micro-generator** (eg wind) the primary source and the prime mover **Inverter**/rectifier may be replaced by a **DC** source.

In case of a DFIG **Micro-generator** the mechanical drive system may be replaced by a test bench motor.

Test 1 Voltage = 85% of nominal (195.5 V), Frequency = 47 Hz, Power Factor = 1, Period of test 20 s	
Test 2 Voltage = 85% of nominal (195.5 V) Frequency = 47.5 Hz Power factor = 1 Period of test 90 minutes	

Test 3 Voltage = 110% of nominal (253 V). Frequency = 51.5 Hz Power factor = 1 Period of test 90 minutes	
Test 4 Voltage = 110% of nominal (253 V). Frequency = 52.0 Hz Power factor = 1 Period of test 15 minutes	
Test 5 Voltage = 100% of nominal (230 V), Frequency = 50.0 Hz, Power Factor = 1, Period of test = 90 minutes	

Test 6 RoCoF withstand Confirm that the Power Generating Module is capable of staying connected to the Distribution Network and operate at rates of change of frequency up to 1 Hzs-1 as measured over a period of 500 ms.	

2.Power Quality – Harmonics: These tests should be carried out as specified in BS EN 61000-3-2. The chosen test should be undertaken with a fixed source of energy at two power levels a) between 45 and 55% and b) at 100% of Registered Capacity. The test requirements are specified in Annex A1 A.1.3.1 (Inverter connected) or Annex A2 A.2.3.1 (Synchronous).

Micro-generator tested to BS EN 61000-3-2

Micro-generator rating per phase (rpp)			3.6	kW	NV=MV*3.68/rpp	
Harmonic	At 45-55% of **Registered Capacity**		100% of **Registered Capacity**			
	Measured Value MV in Amps	Normalised Value (NV) in Amps	Measured Value MV in Amps	Normalised Value (NV) in Amps	Limit in BS EN 61000-3-2 in Amps	Higher limit for odd harmonics 21 and above
2	0.061	0.080	0.11	0.135	1.080	
3	0.188	0.229	0.21	0.258	2.300	
4	0.025	0.033	0.04	0.049	0.430	
5	0.113	0.139	0.131	0.161	1.140	
6	0.019	0.023	0.029	0.036	0.300	
7	0.069	0.085	0.079	0.097	0.770	
8	0.007	0.009	0.009	0.011	0.230	
9	0.045	0.055	0.059	0.072	0.400	
10	0.005	0.006	0.019	0.023	0.184	
11	0.027	0.033	0.049	0.060	0.330	

12	0.007	0.009	0.009	0.011	0.153	
13	0.007	0.009	0.051	0.063	0.210	
14	0.007	0.009	0.021	0.026	0.131	
15	0.008	0.010	0.031	0.038	0.150	
16	0.008	0.010	0.021	0.026	0.115	
17	0.017	0.021	0.049	0.060	0.132	
18	0.007	0.009	0.019	0.023	0.102	
19	0.027	0.033	0.049	0.060	0.118	
20	0.007	0.009	0.019	0.023	0.092	
21	0.037	0.045	0.069	0.085	0.107	0.160
22	0.017	0.021	0.019	0.023	0.084	
23	0.027	0.033	0.059	0.072	0.098	0.147
24	0.007	0.009	0.029	0.036	0.077	
25	0.037	0.045	0.059	0.072	0.090	0.135
26	0.007	0.009	0.009	0.011	0.071	
27	0.027	0.033	0.037	0.045	0.083	0.124
28	0.007	0.009	0.007	0.009	0.066	
29	0.038	0.047	0.049	0.060	0.078	0.117
30	0.008	0.010	0.009	0.011	0.061	
31	0.018	0.022	0.029	0.036	0.073	0.109
32	0.007	0.009	0.017	0.021	0.058	
33	0.017	0.021	0.027	0.033	0.068	0.102
34	0.007	0.009	0.019	0.023	0.054	
35	0.017	0.021	0.029	0.036	0.064	0.096
36	0.007	0.009	0.009	0.011	0.051	
37	0.007	0.009	0.019	0.023	0.061	0.091
38	0.007	0.009	0.009	0.011	0.048	

39	0.007	0.009	0.019	0.023	0.058	0.087
40	0.008	0.010	0.011	0.013	0.046	

Note the higher limits for odd harmonics 21 and above are only allowable under certain conditions, if these higher limits are utilised please state the exemption used as detailed in part 6.2.3.4 of BS EN 61000-3-2 in the box below.

3.Power Quality – Voltage fluctuations and Flicker: These tests should be undertaken in accordance with EREC G98 Annex A1 A.1.3.3 (**Inverter** connected) or Annex A2 A.2.3.3 (Synchronous).

	Starting			Stopping			Running	
	d max	d c	d(t)	d max	d c	d(t)	P_{st}	P_{lt} 2 hours
Measured Values at test impedance	1.08	0.03	0	1.08	0.25	0	0.21	0.22
Normalised to standard impedance	1.08	0.03	0	1.08	0.25	0	0.21	0.22
Normalised to required maximum impedance	--	--	--	--	--	--	--	--
Limits set under BS EN 61000-3-11	4%	3.3%	3.3%	4%	3.3%	3.3%	1.0	0.65

Test Impedance	R	0.4	Ω	X	0.25	Ω
Standard Impedance	R	0.24* 0.4^	Ω	X	0.15* 0.25^	Ω
Maximum Impedance	R	-	Ω	X	--	Ω

Applies to three phase and split single phase **Micro-generators**.

^ Applies to single phase **Micro-generators** and **Micro-generators** using two phases on a three phase system.

For voltage change and flicker measurements the following formula is to be used to convert the measured values to the normalised values where the power factor of the generation output is 0.98 or above.

Normalised value = Measured value*reference source resistance/measured source resistance at test

point. Single phase units reference source resistance is 0.4 Ω Two phase units in a three phase system reference source resistance is 0.4 Ω. Two phase units in a split phase system reference source resistance is 0.24 Ω. Three phase units reference source resistance is 0.24 Ω. Where the power factor of the output is under 0.98 then the X to R ratio of the test impedance should be close to that of the Standard Impedance. The stopping test should be a trip from full load operation. The duration of these tests need to conform to the particular requirements set out in the testing notes for the technology under test. Dates and location of the test need to be noted below.				
Test start date	14,Jun,2022		Test end date	14, Jun,2022
Test location	Growatt Global Certification Lab			
4.Power quality – DC injection: This test should be carried out in accordance with EN 50438 Annex D.3.10				
Test power level（3.6K）	20%	50%	75%	100%
Recorded value in Amps	20.4mA	24.3 mA	28.8mA	31.5mA
as % of rated AC current	0.13%	0.15%	0.18%	0.20%
Limit	0.25%	0.25%	0.25%	0.25%
Test power level（3K）	20%	50%	75%	100%
Recorded value in Amps	16.4mA	19.3 mA	22.6mA	24.5mA
as % of rated AC current	0.12%	0.15%	0.17%	0.19%
Limit	0.25%	0.25%	0.25%	0.25%
5.Power Quality – Power factor: This test shall be carried out in accordance with EN 50548 Annex D.3.4.1 but with nominal voltage -6% and +10%. Voltage to be maintained within ±1.5% of the stated level during the test.				
	216.2 V		230 V	253 V
20% of **Registered Capacity**	0.97017		0.97824	0.97452
50% of **Registered Capacity**	0.99136		0.99127	0.98989

75% of **Registered Capacity**	0.99427	0.99415	0.99303
100% of **Registered Capacity**	0.99531	0.99656	0.99576
Limit	>0.95	>0.95	>0.95

6.Protection – Frequency tests: These tests should be carried out in accordance with EN 50438 Annex D.2.4 and the notes in EREC G98 Annex A1 A.1.2.3 (**Inverter** connected) or Annex A2 A.2.2.3 (Synchronous)

Function	Setting		Trip test		"No trip tests"	
	Frequency	Time delay	Frequency	Time delay	Frequency /time	Confirm no trip
U/F stage 1	47.5 Hz	20 s	47.48Hz	20.01s	47.7 Hz 30 s	No trip
U/F stage 2	47 Hz	0.5 s	47.01Hz	0.506s	47.2 Hz 19.5 s	No trip
					46.8 Hz 0.45 s	No trip
O/F stage 1	52 Hz	0.5 s	52.00Hz	0.514s	51.8 Hz 120.0 s	No trip
					52.2 Hz 0.45 s	No trip

Note. For frequency trip tests the frequency required to trip is the setting ± 0.1 Hz. In order to measure the time delay a larger deviation than the minimum required to operate the projection can be used. The "No trip tests" need to be carried out at the setting ± 0.2 Hz and for the relevant times as shown in the table above to ensure that the protection will not trip in error.

7.Protection – Voltage tests: These tests should be carried out in accordance with EN 50438 Annex D.2.3 and the notes in EREC G98 Annex A1 A.1.2.2 (**Inverter** connected) or Annex A2 A.2.2.2 (Synchronous)

Function	Setting		Trip test		"No trip tests"	
	Voltage	Time delay	Voltage	Time delay	Voltage /time	Confirm no trip
U/V	184 V	2.5 s	184.5V	2.51s	188 V 5.0 s	No trip
					180 V 2.45 s	No trip
O/V stage 1	262.2 V	1.0 s	261.8V	1.01s	258.2 V 5.0 s	No trip
O/V stage 2	273.7 V	0.5 s	273.0V	0.516s	269.7 V	No trip

					0.95 s	
					277.7 V 0.45 s	No trip

Note for Voltage tests the Voltage required to trip is the setting ±3.45 V. The time delay can be measured at a larger deviation than the minimum required to operate the protection. The No trip tests need to be carried out at the setting ±4 V and for the relevant times as shown in the table above to ensure that the protection will not trip in error.

8.Protection – Loss of Mains test: For PV Inverters shall be tested in accordance with BS EN 62116. Other Inverters should be tested in accordance with EN 50438 Annex D.2.5 at 10%, 55% and 100% of rated power.

To be carried out at three output power levels with a tolerance of plus or minus 5% in Test Power levels.

Test Power	10%	55%	100%	10%	55%	100%
Balancing load on islanded network	95% of **Registered Capacity**	95% of **Registered Capacity**	95% of **Registered Capacity**	105% of **Registered Capacity**	105% of **Registered Capacity**	105% of **Registered Capacity**
Trip time. Limit is 0.5 s	0.28s	0.33s	0.35s	0.31s	0.31s	0.32s

For Multi phase **Micro-generators** confirm that the device shuts down correctly after the removal of a single fuse as well as operation of all phases.

Test Power	10%	55%	100%	10%	55%	100%
Balancing load on islanded network	95% of **Registered Capacity**	95% of **Registered Capacity**	95% of **Registered Capacity**	105% of **Registered Capacity**	105% of **Registered Capacity**	105% of **Registered Capacity**
Trip time. Ph1 fuse removed	/	/	/	/	/	/
Test Power	10%	55%	100%	10%	55%	100%
Balancing load on islanded network	95% of **Registered Capacity**	95% of **Registered Capacity**	95% of **Registered Capacity**	105% of **Registered Capacity**	105% of **Registered Capacity**	105% of **Registered Capacity**
Trip time. Ph2 fuse removed	/	/	/	/	/	/
Test Power	10%	55%	100%	10%	55%	100%
Balancing load on islanded network	95% of **Registered Capacity**	95% of **Registered Capacity**	95% of **Registered Capacity**	105% of **Registered Capacity**	105% of **Registered Capacity**	105% of **Registered Capacity**
Trip time. Ph3 fuse removed	/	/	/	/	/	/

Note for technologies which have a substantial shut down time this can be added to the 0.5 s in establishing that the trip occurred in less than 0.5 s. Maximum shut down time could therefore be up to

1.0 s for these technologies.						
Indicate additional shut down time included in above results.			40ms			
For **Inverters** tested to BS EN 62116 the following sub set of tests should be recorded in the following table.						
Test Power and imbalance	33% -5% Q Test 22	66% -5% Q Test 12	100% -5% P Test 5	33% +5% Q Test 31	66% +5% Q Test 21	100% +5% P Test 10
Trip time. Limit is 0.5 s	0.31s	0.35s	0.31s	0.32s	0.28s	0.27s

9.Protection – Frequency change, Vector Shift Stability test: This test should be carried out in accordance with EREC G98 Annex A1 A.1.2.6 (**Inverter** connected) or Annex A2 A.2.2.6 (Synchronous).

	Start Frequency	Change	Confirm no trip
Positive Vector Shift	49.0 Hz	+50 degrees	No Trip
Negative Vector Shift	50.0 Hz	- 50 degrees	No Trip

10.Protection – Frequency change, RoCoF Stability test: The requirement is specified in section 11.3, test procedure in Annex A.1.2.6 (**Inverter** connected) or Annex A2 A.2.2.6 (Synchronous).

Ramp range	Test frequency ramp:	Test Duration	Confirm no trip
49.0 Hz to 51.0 Hz	+0.95 Hzs^{-1}	2.1 s	No Trip
51.0 Hz to 49.0 Hz	-0.95 Hzs^{-1}	2.1 s	No Trip

11.Limited Frequency Sensitive Mode – Overfrequency test: This test should be carried out in accordance with EN 50438 Annex D.3.3 Power response to over- frequency. The test should be carried out using the specific threshold frequency of 50.4 Hz and **Droop** of 10%.

Test sequence at **Registered Capacity** >80%	Measured **Active Power** Output	Frequency	Primary Power Source	**Active Power** Gradient
Step a) 50.00 Hz ±0.01 Hz	3612.01W	50.00Hz	3706.23W	-
Step b) 50.45 Hz ±0.05 Hz	3576.56W	50.44Hz		-
Step c) 50.70 Hz ±0.10 Hz	3402.02W	50.70Hz		-
Step d) 51.15 Hz ±0.05 Hz	3068.22W	51.15Hz		-
Step e) 50.70 Hz ±0.10 Hz	3398.15W	50.68Hz		-
Step f) 50.45 Hz ±0.05 Hz	3577.15W	50.45Hz		-

Step g) 50.00 Hz ±0.01 Hz	3610.22W	50.01Hz		
Test sequence at **Registered Capacity** 40% - 60%	Measured **Active Power** Output	Frequency	Primary Power Source	**Active Power** Gradient
Step a) 50.00 Hz ±0.01 Hz	1806.82W	50.00Hz	1883.22W	-
Step b) 50.45 Hz ±0.05 Hz	1769.25W	50.45Hz		-
Step c) 50.70 Hz ±0.10 Hz	1587.56W	50.71Hz		-
Step d) 51.15 Hz ±0.05 Hz	1275.13W	51.14Hz		-
Step e) 50.70 Hz ±0.10 Hz	1592.47W	50.70Hz		-
Step f) 50.45 Hz ±0.05 Hz	1770.24W	50.46Hz		-
Step g) 50.00 Hz ±0.01 Hz	1803.66W	50.01Hz		
Steps as defined in EN 50438				

12.Power output with falling frequency test: This test should be carried out in accordance with EN 50438 Annex D.3.2 active power feed-in at under-frequency.

Test sequence	Measured **Active Power** Output	Frequency	Primary power source
Test a) 50 Hz ± 0.01 Hz	3610.12W	50.00Hz	3701.17W
Test b) Point between 49.5 Hz and 49.6 Hz	3605.12W	49.55Hz	3695.32W
Test c) Point between 47.5 Hz and 47.6 Hz	3590.79W	47.54Hz	3698.12W
NOTE: The operating point in Test (b) and (c) shall be maintained for at least 5 minutes			

13.Re-connection timer.

Test should prove that the reconnection sequence starts after a minimum delay of 20 s for restoration of voltage and frequency to within the stage 1 settings of Table 2. Both the time delay setting and the measured delay should be provided in this form; both should be greater than 20 s to pass. Confirmation should be provided that the Micro-generating Plant does not reconnect at the voltage and frequency settings below; a statement of “no reconnection” can be made.

Time delay setting	Measured delay		Checks on no reconnection when voltage or frequency is brought to just outside stage 1 limits of table 2.

20S	30S		At 266.2 V	At 180.0 V	At 47.4 Hz	At 52.1 Hz
Confirmation that the **Micro-generator** does not re-connect.			Yes	Yes	Yes	Yes

14.Fault level contribution: These tests shall be carried out in accordance with EREC G98/NI Annex A1 A.1.3.5 (**Inverter** connected) and Annex A2 A.2.3.4 (Synchronous).

For machines with electro-magnetic output			For **Inverter** output		
Parameter	Symbol	Value	Time after fault	Volts	Amps
Peak Short Circuit current	i_p		20 ms	81.2V	29.3A
Initial Value of aperiodic current	A		100 ms	77.4V	22.3A
Initial symmetrical short-circuit current*	I_k		250 ms	76.9V	16.2A
Decaying (aperiodic) component of short circuit current*	i_{DC}		500 ms	73.4V	8.2A
Reactance/Resistance Ratio of source*	X/R		Time to trip	0.225	In seconds

For rotating machines and linear piston machines the test should produce a 0 s – 2 s plot of the short circuit current as seen at the **Micro-generator** terminals.

* Values for these parameters should be provided where the short circuit duration is sufficiently long to enable interpolation of the plot

15.Logic Interface.	Yes

This equipment is equipped with RJ45 terminal for logic interface that being received the signal from the DNO, the connection should be installed per installation manual, and the signal should be a simple binary output that captured by RJ45 terminal(PIN 5 and 1 for detecting the signal). Once the signal actived, the inverter will reduce its active power to zero within 5s.

16.Self-Monitoring solid state switching: No specified test requirements. Refer to EREC G98 Annex A1 A.1.3.6 (**Inverter** connected).	Yes/or NA
It has been verified that in the event of the solid state switching device failing to disconnect the **Micro-generator**, the voltage on the output side of the switching device is reduced to a value below 50 V within 0.5 s.	NA
17. Cyber security	Yes or NA
Confirm that the Manufacturer or Installer of the Micro-generator has provided a statement describing how the Micro-generator has been designed to comply with cyber security requirements, as detailed in 9.7.	Yes

Additional comments	

Manufacturer's declaration in accordance with the requirements of G98-Amd. 6 (2021-09) standard Sec.s 9.7.1, 9.7.2, and G99-Amd. 8 (2021-09) standard Sec.s 9.1.7, 9.1.8 regarding "Cyber Security"

The undersigned *****,

as Marketing department of the Company **ShenZhen Growatt New Energy Co.,Ltd.** ,

based in 4-13/F, Building A, Sino- German(Europe) Industrial Park, Hangcheng Ave, Guxing Community, Xixiang Subdistrict, Bao'an District, Shenzhen, China

on behalf of the same Company declares the following:

1) The ShenZhen Growatt New Energy Co.,Ltd company's inverters include a system of internal and

external logic communications as summarized in the following scheme:

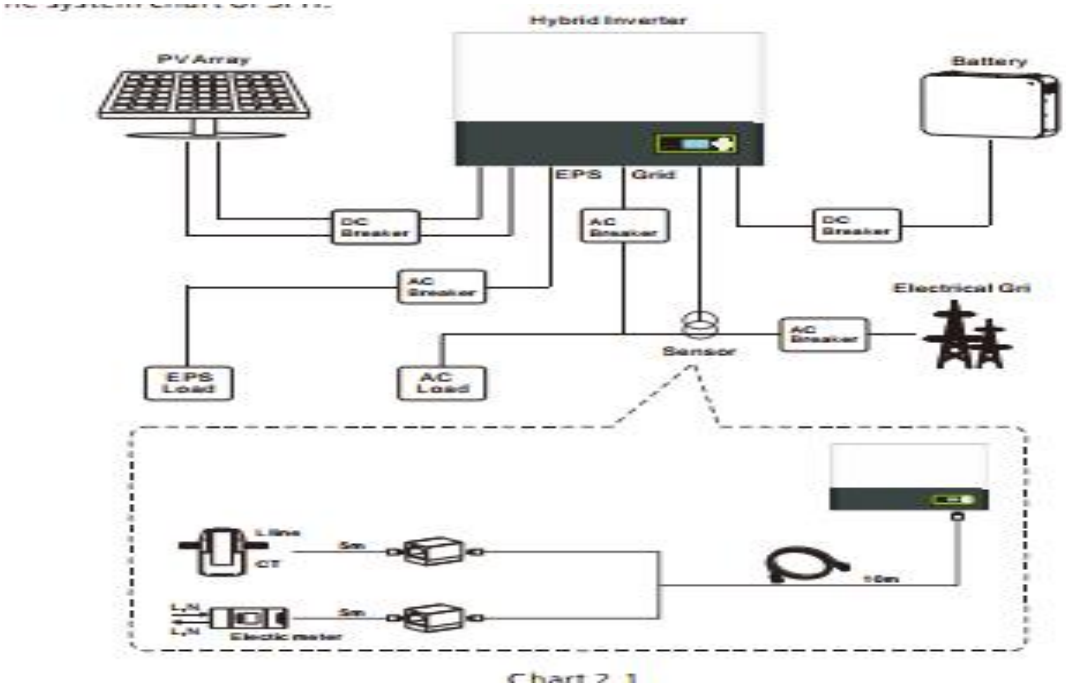


Chart 2-1

where the main components involved and their main functions are explained in the following table:

Name	Meaning	Function	Location
PMS	Power Management System	monitoring and management of power fluxes through the inverter, execution of local logic functions depending on grid parameters values	Inverter
Monitoring	WIFI/GPRS	Monitoring device to realize remote monitoring function	Monitoring device
Router	Router device	transmission of data to cloud server, reception of commands/settings from external stakeholder	Third-party device
Meter	External Power Meter	meter at the AC input site, and possible meter at AC port of third party generator/inverter, for power measures	Third-party device

and the subjects/parties involved in communications with the Growatt inverters are listed in the
following table, together with the purposes of the respective communications:

Subject	Meaning	Operations
End-user	mobile device (App), PC (web portal)	monitoring of historical data, settings for special functions
Service	PC (via web portal)	remote diagnosis, system behaviour monitoring, remote updates, remote settings

2) All communications between internal components of the inverter, and supplied External Power Meter(s), take place via appropriate serial lines (RS485, CanBus) .

3) The only communication port between the inverter and the outside is constituted by the monitoring device on the system; the communication between inverter and the outside world can take place via an Ethernet line, WiFi or GPRS router according to the customer's request.

4) All communications between the Growatt server and the subjects/parties are cyber-protected by SSL

technology.

5) The cyber-security assessment of the Growatt was performed according to the ETSI EN 303 645

standard, and it is reported according to the Table B.1 form of the same standard:

EN 303 645 v2.1.1 (2020-06) Table B.1: Implementation of provisions for consumer IoT security			
Clause number and title			
Reference	Status	Support	Detail
5.1 No universal default passwords			
Provision 5.1-1	M C (1)	N/A	There is no default passwords for users
Provision 5.1-2	M C (1)	N/A	
Provision 5.1-3	M	N/A	
Provision 5.1-4	M C (8)	N/A	
Provision 5.1-5	M C (5)	N/A	
5.2 Implement a means to manage reports of vulnerabilities			
Provision 5.2-1	M	Y	
Provision 5.2-2	R	Y	
Provision 5.2-3	R	Y	
5.3 Keep software updated			

Provision 5.3-1	R	Y	
Provision 5.3-2	MC (5)	Y	
Provision 5.3-3	MC (12)	N/A	
Provision 5.3-4	RC (12)	Y	The manufacturer manages the updates of the systems by means of remote automatic, selectively by type of machine or by activating special functions at the request of the user
Provision 5.3-5	RC (12)	N	Check note at 5.3-4
Provision 5.3-6	RC (9,12)	N	Check note at 5.3-4
Provision 5.3-7	M C (12)	Y	
Provision 5.3-8	M C (12)	N	note at 5.3-4
Provision 5.3-9	R C (12)	N	
Provision 5.3-10	M (11,12)	Y	
Provision 5.3-11	RC (12)	N	
Provision 5.3-12	RC (12)	N	
Provision 5.3-13	M	Y	
Provision 5.3-14	R C (3,4)	N/A	
Provision 5.3-15	R C (3,4)	N/A	
Provision 5.3-16	M	Y	
5.4 Securely store sensitive security parameters			
Provision 5.4-1	M	Y	
Provision 5.4-2	M(10)	Y	
Provision 5.4-3	M	N/A	hard-coded identity not used in source code
Provision 5.4-4	M	Y	
5.5 Communicate securely			
Provision 5.5-1	M	Y	
Provision 5.5-2	R	Y	
Provision 5.5-3	R	Y	
Provision 5.5-4	R	N	
Provision 5.5-5	M	Y	
Provision 5.5-6	R	Y	
Provision 5.5-7	M	Y	
Provision 5.5-8	M	Y	
5.6 Minimize exposed attack surfaces			
Provision 5.6-1	M	Y	
Provision 5.6-2	M	Y	
Provision 5.6-3	R	Y	
Provision 5.6-4	MC(13)	N/A	
Provision 5.6-5	R	Y	
Provision 5.6-6	R	Y	
Provision 5.6-7	R	Y	
Provision 5.6-8	R	N	
Provision 5.6-9	R	Y	
5.7 Ensure software integrity			
Provision 5.7-1	R	N	
Provision 5.7-2	R	N	
5.8 Ensure that personal data is secure			
Provision 5.8-1	R	N/A	
Provision 5.8-2	M	Y	applicable to server/cloud services and to the customer App for mobile

			devices
Provision 5.8-3	M	Y	
5.9 Make systems resilient to outages			
Provision 5.9-1	R	Y	
Provision 5.9-2	R	Y	
Provision 5.9-3	R	Y	
5.10 Examine system telemetry data			
Provision 5.10-1	RC (6)	N	
5.11 Make it easy for users to delete user data			
Provision 5.11-1	M	N/A	
Provision 5.11-2	R	N/A	
Provision 5.11-3	R	N/A	
Provision 5.11-4	R	N/A	
5.12 Make installation and maintenance of devices easy			
Provision 5.12-1	R	N/A	no istallation/maintenance operations are available to the final user
Provision 5.12-2	R	N/A	no istallation/maintenance operations are available to the final user
Provision 5.12-3	R	N/A	check note at 5.3-4
5.13 Validate input data			
Provision 5.13-1	M	Y	
6 Data protection provisions for consumer IoT			
Provision 6.1	M	Y	it only applies to the server/cloud side of the service
Provision 6.2	MC (7)	Y	it only applies to the server/cloud side of the service
Provision 6.3	M	Y	it only applies to the server/cloud side of the service
Provision 6.4	RC (6)	Y	
Provision 6.5	MC(6)	Y	

Conditions:

1) passwords are used;
2) pre-installed passwords are used;
3) software components are not updateable;
4) the device is constrained;
5) the device is not constrained;
6) telemetry data being collected;
7) personal data is processed on the basis of consumers' consent;
8) the device allowing user authentication;
9) the device supports automatic updates and/or update notifications;
10) a hard-coded unique per device identity is used for security purposes;
11) updates are delivered over a network interface;
12) an update mechanism is implemented;
13) a debug interface is physically accessible.

Status' Column:
M: Mandatory provision
R: Recommended provision
M C: Mandatory and conditional provision
R C: Recommended and conditional provision

Support' Column:
Y: Implemented
N: Not implemented
N/A: Not applicable